

23 LS

LEADERSHIP SUMMIT

MAY 23-25 | WASHINGTON, DC

Program

All program times are shown in eastern time (ET).

IDC breakfasts and education sessions are shown in red and are closed to the media.

TUESDAY, MAY 23

5:30-7:00 p.m.

Summit Registration and Opening Reception

WEDNESDAY, MAY 24

7:30 a.m.-5:30 p.m.

Summit Registration

Networking buffet breakfast available from 7:30 to 8:30 a.m.

7:30-8:25 a.m.

SPONSORED THOUGHT LEADERSHIP SESSION

U.S. Bank Global Fund Services: Custodians During the Times of Change

The asset management industry continues to evolve at a rapid pace. Join our discussion as we explore the role of the custodian and help you navigate some of today's biggest challenges such as global network management, the impact of CSDR and how the trade settlement of T+1 will change your business.

WALTER BARYS

SVP, Head of Global Network Management
U.S. Bank

LAURA COTE

Vice President
U.S. Bank

DYLAN CURLEY

Global Head of Business Development
U.S. Bank

7:30-8:30 a.m.

IDC Breakfast for Independent Directors: Governance Practices Roundtable

Participating independent directors will engage in peer-to-peer discussions regarding board governance practices, including director recruitment, continuing education, and retirement and succession planning.

8:45-8:55 a.m.

Welcoming Remarks

JOSE MINAYA

CEO
Nuveen

8:55-9:05 a.m.

President's Address

ERIC J. PAN

President and CEO
Investment Company Institute

9:10-9:40 a.m.

Fireside Chat: Leading in Uncertain Times

MORTIMER J. BUCKLEY

Chairman and CEO
The Vanguard Group

TOM FAUST

Chairman
Morgan Stanley Investment Management

9:45–10:25 a.m.

Senior Leadership Insights: Positioning for Future Growth

SEUN SALAMI, Moderator
Chief Financial Officer
Nuveen

BART GRENIER

President and Head of Asset Management
Fidelity Management & Research, LLC

LISA M. JONES

Head of Americas
President and CEO
Amundi US, Inc.

10:25–11:00 a.m.

Networking/Refreshments Break

11:00–11:40 a.m.

Do Fish See Water? The Future of Nomadic Teams, Global Peaks, and Progress Without Growth

Looking from 1950 to 2070, Cindy Frewen considers massive changes in populations, environment, and technology, how those changes will shape the future of work and society, and what it means today.

CINDY FREWEN

Urban Futurist and Architect

11:50 a.m.–12:30 p.m.

CONCURRENT SESSIONS

A. Insights on the Investment Markets

SPENCER JAKAB, Moderator
Global Editor, Heard on the Street
Wall Street Journal

OLGA BITEL

Global Economist
William Blair

KRISTINA HOOPER

Global Strategist
Invesco

B. Democratization of Alternative Investments

Alternative investments have historically been difficult to access by investment advisors and retail investors. The product offerings (interval funds, tender offer funds, BDCs, REITs) designed for high-net worth and retail investors have surged in popularity recently amidst rising interest rates and lower public market performance. Leading asset

managers and platform providers will share their views on expanding investor access, developing new solutions and preparing their organizations and the industry for illiquid asset classes and product structures.

NICK DARSCH, Moderator
Managing Director
Ultimus Fund Solutions

DAVE DONAHOO

Co-Head of US Wealth Management Alternatives
Franklin Templeton

STEPHANIE DRESCHER

Partner and Chief Client and Product Development Officer
Apollo

NICK VERONIS

Co-founder and Managing Partner
iCapital

IDC Education Session: Mutual Fund-to-ETF Conversions – Considerations for Fund Directors

Mutual fund-to-ETF conversions are an accelerating trend. Join this session to explore related considerations for fund directors, including the impact of conversions on fund shareholders and implications on the organization and potential consolidation of mutual fund and ETF boards.

GREGORY WEAVER, Moderator
Independent Director
Goldman Sachs Trust

AISHA HUNT

Principal
Kelley Hunt & Charles

JONATHAN ZESCHIN

Independent Trustee
Matthews Asia Funds

12:40–2:00 p.m.

Luncheon with Keynote Speaker Penny Pennington

PENNY PENNINGTON

Managing Partner
Edward Jones

DOUG SIEG

Managing Partner and CEO
Lord, Abbett & Co.

2:00–2:25 p.m.

Networking Break

2:25–3:10 p.m.

**SMALL GROUP DISCUSSION SESSIONS
FACILITATED BY INDUSTRY LEADERS**

Closed to the media.

Seating for the discussion sessions is limited; arrive early to increase your chances of receiving your first session choice.

A. Leading Change in a Dynamic Work Environment

STUART BATEMAN, Co-Facilitator

Senior Vice President, Global Shareholder Services
Relationship Management Americas
Franklin Templeton

LISA SHEA, Co-Facilitator

Senior Vice President
Northern Trust

**B. Leadership and Decision Making Informed by
Data and Analytics**

MICHAEL BIESELIN, Co-Facilitator

Chief Marketing Strategy and Operations Officer
TIAA

KIMBERLY JOHNSON, Co-Facilitator

Chief Operating Officer
T. Rowe Price

**C. Leading Diverse Teams: Becoming an Employer
of Choice**

JANESSA COX-IRVIN, Discussion Co-Facilitator

Global Head of Diversity, Equity & Inclusion, and Corporate
Citizenship
AllianceBernstein

CRYSTAL HARDIE LANGSTON, Discussion Co-Facilitator

Global Head of Diversity, Equity, & Inclusion and
Community Stewardship
The Vanguard Group

**D. Key Developments in the Closed-End Investment
Company Market**

KENNETH FANG, Discussion Co-Facilitator

Associate General Counsel
Investment Company Institute

JONATHAN ISAAC, Discussion Co-Facilitator

Managing Director, Product Management
Morgan Stanley

**IDC Education Case Study: Risk Management
Oversight in an Unpredictable World**

Independent directors will have the opportunity to participate in a case study-focused discussion on board oversight of geopolitical and cybersecurity risks.

WILLIAM EBSWORTH

Independent Director
Allspring Funds

JOHN BOLES

PwC Principal
Cyber Security

3:10–3:30 p.m.

Networking Break

3:30–4:15 p.m.

**The Evolution of Advice: A Discussion with
Financial Advisors**

A panel of leading financial advisors will explore timely practice management, client, technology, and asset management opportunities and challenges.

CAROL GEREMIA, Moderator

President
MFS Investment Management

PETER KENNY

Senior Vice President
Merrill

KATHERINE LIOLA

CEO and Founder
Concentric Private Wealth

KOBBY OKUM

Financial Advisor
Edward Jones

4:15–5:00 p.m.

Fireside Chat with Keynote Speaker Jane Fraser

JANE FRASER

CEO
Citi

ERIC J. PAN

President and CEO
Investment Company Institute

6:30 p.m.

Summit Reception at Planet Word Museum

925 13th Street, NW in Washington, DC

Join fellow Leadership Summit attendees for drinks and heavy hors d'oeuvres at one of DC's most popular new venues, Planet Word! Planet Word is the world's first voice-activated museum that brings words and language to life in unique and engaging ways.

Transportation will be provided for registrants. For those who prefer walking, the museum is just a few blocks from the JW Marriott.

Thanks to State Street for sponsoring this exciting networking opportunity.

THURSDAY, MAY 25

7:30 a.m.–12:00 p.m.

Summit Registration

Networking breakfast available from 7:30 to 8:15 a.m.

7:30–8:15 a.m.

SPONSORED THOUGHT LEADERSHIP SESSION

Breakfast Conversation: Insights on SEC Priorities and Rulemaking from Former Senior SEC Staff Members at Kirkland & Ellis

A conversation and Q&A over breakfast with former senior SEC staff members at Kirkland & Ellis on SEC priorities and rulemaking.

DIANE BLIZZARD

Partner
Kirkland & Ellis

PAMELA POLAND CHEN

Partner
Kirkland & Ellis

DANIEL KAHL

Partner
Kirkland & Ellis

DAVID MARCINKUS

Partner
Kirkland & Ellis

7:30–8:15 a.m.

IDC Breakfast for Independent Directors: Regulatory Update for Fund Boards

Join IDC for breakfast and an overview of recent regulatory developments impacting the work of fund boards.

KARLA RABUSCH, Moderator

Independent Director
Lord Abbett Funds

MARCO ADELFO

Partner
Goodwin

8:15–9:00 a.m.

The Regulatory Landscape

GARY GENSLER

Chair
Securities and Exchange Commission

ERIC J. PAN

President and CEO
Investment Company Institute

9:00–9:40 a.m.

Fireside Chat with Mary Callahan Erdoes

MARY CALLAHAN ERDOES

CEO
J.P. Morgan Asset & Wealth Management

YIE-HSIN HUNG

Chair
Investment Company Institute
President and CEO
State Street Global Advisors

9:40–10:10 a.m.

Networking/Refreshments Break

10:10–10:50 a.m.

Fireside Chat: Policy Priorities from the US Treasury

WALLY ADEYEMO

Deputy Secretary of the Treasury

ERIC J. PAN

President and CEO
Investment Company Institute

10:50–11:30 a.m.

**Fireside Chat: Geopolitical Imperatives—
Making Sense of the World Today**

JODY JONSSON

President

Capital Research and Management Company

KLON KITCHEN

Senior Fellow

American Enterprise Institute

11:30 a.m.–12:10 p.m.

The Art of Perception: See What Matters

At this interactive session, Amy E. Herman, a lawyer, art historian, and best-selling author, will use works of art to help attendees enhance their observation, perception, communication, and problem-solving skills. The session will be celebratory, thought-provoking, and most importantly, eye-opening to the possibilities of all that we can achieve.

AMY E. HERMAN

Founder and President

The Art of Perception, Inc.

12:10–12:15 p.m.

Closing Remarks

JOSE MINAYA

CEO

Nuveen